



2/32
Attorney's Docket No.: 10559-463001/P10875 #5
SP
6-18-03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : David W. Aucsmith et al.
Serial No.: 10/010,743
Filed : December 6, 2001
Title : DETECTING INTRUSIONS

Art Unit:
Examiner:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED

JUN 12 2003

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants call attention to the attached Information Disclosure Statement and documents listed on form PTO-1449.

This filing is being made before the receipt of a first Office action on the merits. No fee is required.

Each enclosed document listed on the herewith Form PTO-1449 was cited in the attached International Search Report dated April 29, 2003, issued in a foreign counterpart application not more than three months ago. To the best knowledge of the undersigned, this is the first citation of these items in any

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

June 5, 2003

Date of Deposit

Signature

Jennifer H. Payne

Typed or Printed Name of Person Signing Certificate

communication from a foreign patent office in a counterpart foreign application.

The documents are in the English language; hence no concise explanation is necessary per Rule 98(a)(3).

Consideration of the foregoing and enclosures plus the return of a copy of the enclosed form PTO-1449 with the Examiner's initials in the left column per MPEP 609 are earnestly solicited along with an early action on the merits.

Please apply any additional charges or credits to Deposit Account No. 06-1050.

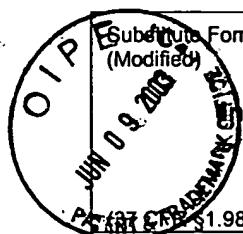
Respectfully submitted,

Date: June 5, 2003

Scott C. Harris
Reg. No. 32,030

scott c. harris
/BY
BING AI
REG. NO. 43,312

Fish & Richardson P.C.
PTO Customer No. 20985 
4350 La Jolla Village Drive, Suite 500
San Diego, CA 92122
Telephone: (858) 678-5070
Facsimile: (858) 678-5099

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
10559-463001Application No.
10/010,743
**Information Disclosure Statement
by Applicant**
 (Use several sheets if necessary)
Applicant
David W. Aucsmith et al.Filing Date
December 6, 2001

Group Art Unit

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						RECEIVED
	AG						JUN 12 2003
	AH						
	AI						Technology Center 2100
	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications							
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No
	AL	WO 99/57625	11/11/1999	WIPO			
	AM						
	AN						
	AO						
	AP						

Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document					
	AQ	Lunt, T., et al., "IDES: A Progress Report", <i>IEEE</i> , Computer Security Applications Conference, XP010021645, pgs. 273-285, Dec 1990.					
	AR	Balasubramaniyan, J.S., et al., "An architecture for intrusion detection using autonomous agents", <i>Coast Technical Report</i> , XP002237264, pgs. 1-19, June 1998.					
	AS	Bauer, D., et al., "NIDX - An expert system for real-time network intrusion detection", <i>IEEE</i> , Computer Network Symposium, XP010011838, pgs. 98-106, April 1988.					
	AT						

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	